

PTO/SB/06 (08-00)  
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PATENT APPLICATION FEE DETERMINATION RECORD

**Application or Docket Number**  
**A99285US (98222.1)**

(Column 1)


(Column 2)


## SMALL ENTITY

OR

OTHER THAN  
SMALL ENTITY

FOR	NUMBER FILED	NUMBER EXTRA
BASIC FEE (37 CFR 1.16(a))		
TOTAL CLAIMS (37 CFR 1.16(c))	59 minus 20 =	* 39
INDEPENDENT CLAIMS (37 CFR 1.16(b))	6 minus 3 =	* 3
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(d))		

RATE	FEE
	<u>\$355</u>
x \$ <u>9</u> =	351
x <u>40</u> =	120
+ _____ =	
<b>TOTAL</b>	<b>\$826</b>

RATE	FEE
	\$ _____
x \$ _____ =	
x _____ =	
+ _____ =	
<b>TOTAL</b>	

**TOTAL \$826**

(Column 1)

**(Column 2)**

**(Column 3)**

## SMALL ENTITY

**OR**

OTHER THAN  
SMALL ENTITY

AMENDMENT A		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
	Total (37 CFR 1.16(c))	*	Minus	**	=
	Independent (37 CFR 1.16(b))	*	Minus	***	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM					(37 CFR 1.16(d))

RATE	ADDITIONAL FEE
x \$_____ =	
x _____ =	
+ _____ =	
<b>TOTAL</b>	
<b>ADDIT FEE</b>	

<b>RATE</b>	<b>ADDITIONAL FEE</b>
x \$_____ =	
x _____ =	
+ _____ =	
<b>TOTAL</b>	
<b>ADDIT FEE</b>	

## AMENDMENT B

<b>AMENDMENT B</b>		<b>CLAIMS REMAINING AFTER AMENDMENT</b>		<b>HIGHEST NUMBER PREVIOUSLY PAID FOR</b>	<b>PRESENT EXTRA</b>
	<b>Total</b> (37 CFR 1.16(c))	*	<b>Minus</b>	<b>**</b>	<b>=</b>
	<b>Independent</b> (37 CFR 1.16(b))	*	<b>Minus</b>	<b>***</b>	<b>=</b>
	<b>FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM</b> (37 CFR 1.16(d))				

RATE	ADDITIONAL FEE
x \$_____ =	
x _____ =	
+ _____ =	
TOTAL ADDIT. FEE	

RATE	ADDITIONAL FEE
x \$ ____ =	
x ____ =	
+ ____ =	
TOTAL ADDIT. FEE	

## AMENDMENT C

AMENDMENT C		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
	Total (37 CFR 1.16(c))	*	Minus	**	=
	Independent (37 CFR 1.16(b))	*	Minus	***	=
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))				

RATE	ADDITIONAL FEE
x \$ _____ =	
x _____ =	
+ _____ =	
<b>TOTAL</b>	
<b>ADDITIONAL FEE</b>	

RATE	ADDITIONAL FEE
x \$_____ =	
x _____ =	
+ _____ =	
<b>TOTAL</b>	
<b>ADDITIONAL FEE</b>	

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

**Burden Hour Statement:** This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.

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## PATENT APPLICATION FEE DETERMINATION RECORD

Effective October 1, 2000

Application or Docket Number

09775278/A99285US

### CLAIMS AS FILED - PART I

	(Column 1)	(Column 2)
TOTAL CLAIMS	59	[REDACTED]
FOR	NUMBER FILED	NUMBER EXTRA
TOTAL CHARGEABLE CLAIMS	59 minus 20 =	*39
INDEPENDENT CLAIMS	6 minus 3 =	*3
MULTIPLE DEPENDENT CLAIM PRESENT <input type="checkbox"/>		

SMALL ENTITY TYPE ☐

OR OTHER THAN SMALL ENTITY

RATE	FEE
BASIC FEE	355.00
X\$ 9=	351.00
X40=	120.00
+135=	
TOTAL	826.00

RATE	FEE
BASIC FEE	710.00
X\$18=	
X80=	
+270=	
TOTAL	

\* If the difference in column 1 is less than zero, enter "0" in column 2

### CLAIMS AS AMENDED - PART II

	(Column 1)	(Column 2)	(Column 3)	
AMENDMENT A	CLAIMS REMAINING AFTER AMENDMENT	[REDACTED]	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
	Total	*	Minus	**
	Independent	*	Minus	***
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

SMALL ENTITY OR

OTHER THAN SMALL ENTITY

RATE	ADDITIONAL FEE
X\$ 9=	
X40=	
+135=	
TOTAL ADDIT. FEE	

RATE	ADDITIONAL FEE
X\$18=	
X80=	
+270=	
TOTAL ADDIT. FEE	

	(Column 1)	(Column 2)	(Column 3)	
AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT	[REDACTED]	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
	Total	*	Minus	**
	Independent	*	Minus	***
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

RATE	ADDITIONAL FEE
X\$ 9=	
X40=	
+135=	
TOTAL ADDIT. FEE	

RATE	ADDITIONAL FEE
X\$18=	
X80=	
+270=	
TOTAL ADDIT. FEE	

	(Column 1)	(Column 2)	(Column 3)	
AMENDMENT C	CLAIMS REMAINING AFTER AMENDMENT	[REDACTED]	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
	Total	*	Minus	**
	Independent	*	Minus	***
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

RATE	ADDITIONAL FEE
X\$ 9=	
X40=	
+135=	
TOTAL ADDIT. FEE	

RATE	ADDITIONAL FEE
X\$18=	
X80=	
+270=	
TOTAL ADDIT. FEE	

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20."

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3."

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.



Serial Number	Filing Date	First Named Applicant	Attorney Docket No.
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Please charge one month extension of  
time, \$55.

EXAMINER

ART UNIT PAPER NUMBER

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DATE MAILED:

## NOTICE OF INSUFFICIENT FILING FEES

APPLICANT IS GIVEN 30 DAYS FROM THE DATE OF MAILING OF THIS NOTICE WITHIN WHICH TO SUBMIT THE BALANCE DUE. Extension of this 30 day period under 37 CFR 1.136(a) will not be permitted. Failure to respond within this period will result in the application becoming abandoned. 35 U.S.C. 133.

The filing fees submitted in connection with this application are insufficient. See the attached Patent Application Fee Determination Record (Form PTO-875). The balance due for additional claims and/or multiple dependent claims is summarized below:

☐ **A. Filing Fees due upon filing the application**

Total Filing Fees Due = \$ \_\_\_\_\_  
Less Filing Fees Submitted - \$(\_\_\_\_\_)   
BALANCE DUE = \$ \_\_\_\_\_

☐ **B. Fees due in connection with the amendment filed on \_\_\_\_\_**

Total Fees Due = \$ \_\_\_\_\_  
Less Fees Submitted - \$(\_\_\_\_\_)   
BALANCE DUE = \$ \_\_\_\_\_

ATTACHMENT: FORM PTO-875

\_\_\_\_\_  
Clerk of Group

**APPLICANT: PLEASE COMPLETE THIS PORTION AND RETURN THIS NOTICE WITH PAYMENT**

Fee submitted \$ \_\_\_\_\_

Signature \_\_\_\_\_

### CERTIFICATE OF MAILING

I hereby certify that this notice and the required additional fee are being deposited with the U.S. POSTAL SERVICE as first class mail in an envelope addressed to:  
Commissioner of Patents and Trademarks, Washington, D.C. 20231, on (date) \_\_\_\_\_

Print Name: \_\_\_\_\_

Signature: \_\_\_\_\_